

**Categorical Exclusion and Extraordinary Circumstances Review Form**  
 United States Environmental Protection Agency  
 Region

**I. General Information**

Project Name	Grant Program / Funding Authority	Grant Id Number (if known)
Grant Applicant Organization		
Project Location Description ( <i>street address/city/state/ZIP code; site characteristics</i> )		
Project Description ( <i>summary of project scope</i> )		

**II. EPA Contact for Environmental Review on this Project (If different from Responsible Official)**

Name/Title	Email	Phone Number
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**III.A. Categorical Exclusion Eligibility** (*Check YES or NO*) Complete the following questions in their entirety to determine if the project is eligible for a Categorical Exclusion (CATEX) pursuant to 40 CFR § 6.204(a)(1)(ii) or (iii). Additionally, supporting statements and documentation can be included in Attachment 1.

If yes to any, CATEX applies	<b>40 CFR § 6.204(a)(1)(ii). Does the project involve actions relating to existing infrastructure systems (e.g., sewer systems; drinking water supply systems; and stormwater systems, including combined sewer overflow systems) and involve:</b>	
	<b>YES</b>	<b>NO</b>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
If yes to any, CATEX does not apply	Will the project include actions that:	
	<b>YES</b>	<b>NO</b>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
If yes to any, CATEX applies	<b>40 CFR § 6.204(a)(1)(iii). Actions in unsewered communities involving:</b>	
	<b>YES</b>	<b>NO</b>
If yes to any, CATEX does not apply	Will the project include actions that:	
	<b>YES</b>	<b>NO</b>
	<input type="checkbox"/>	<input type="checkbox"/>

Project Name		Grant Id Number (if known)
<p><b>III.B. Extraordinary Circumstances</b> (Check YES or NO) Complete the following questions in their entirety to determine if the project involves any of the following extraordinary circumstances which would make it ineligible for a CATEX pursuant to 40 CFR § 6.204(b)(1) through (b)(10). Additionally, supporting statements and documentation can be included in Attachment 1.</p>		
<b>YES</b>	<b>NO</b>	
<input type="checkbox"/>	<input type="checkbox"/>	1) Is the action known or expected to have potentially significant environmental impacts on the quality of the human environment either individually or cumulatively over time?
<input type="checkbox"/>	<input type="checkbox"/>	2) Is the action known or expected to have disproportionately high and adverse human health or environmental effects on any community, including minority communities, low-income communities, or federally-recognized Indian tribal communities?
<input type="checkbox"/>	<input type="checkbox"/>	3) Is the action known or expected to significantly affect federally listed threatened or endangered species or their critical habitat?
<input type="checkbox"/>	<input type="checkbox"/>	4) Is the action known or expected to significantly affect national natural landmarks or any property with naturally significant historic, architectural, prehistoric, archaeological, or cultural value, including but not limited to, property listed on or eligible for the National Register of Historic Places?
<input type="checkbox"/>	<input type="checkbox"/>	5) Is the action known or expected to significantly affect environmentally important natural resource areas such as wetlands, floodplains, significant agricultural lands, aquifer recharge zones, coastal zones, barrier islands, wild and scenic rivers, and significant fish or wildlife habitat?
<input type="checkbox"/>	<input type="checkbox"/>	6) Is the action known or expected to cause significant adverse air quality effects?
<input type="checkbox"/>	<input type="checkbox"/>	7) Is the action known or expected to have a significant effect on the pattern and type of land use (industrial, commercial, agricultural, recreational, residential) or growth and distribution of population, including altering the character of existing residential areas or may not be consistent with state or local government, or federally-recognized Indian tribe approved land use plans or federal land management plans?
<input type="checkbox"/>	<input type="checkbox"/>	8) Is the action known or expected to significantly cause significant public controversy about potential environmental impacts of the proposed action?
<input type="checkbox"/>	<input type="checkbox"/>	9) Is the action known or expected to be associated with providing financial assistance to a federal agency through an interagency agreement for a project that is known or expected to have potentially significant environmental impacts?
<input type="checkbox"/>	<input type="checkbox"/>	10) Is the action known or expected to conflict with federal, state, or local government, or federally-recognized Indian tribe environmental resource-protection, or land-use laws or regulations?

Title of Project	Grant Id Number (if known)	
<p><b>III.C. Extraordinary Circumstances Statement</b> (<i>Check ONLY ONE box</i>) If the responses to Section III.A indicate the project is CATEX eligible, and if a <b>NO</b> response was recorded for each of the questions in Section III.B, then no Extraordinary Circumstances are present pursuant to 40 CFR § 6.204(b) and one of the following statements should be selected.</p>		
<input type="checkbox"/>	<p>1) <b>No extraordinary circumstances apply</b> to the proposed action pursuant to 40 CFR §§ 6.204(a)(1) and 6.204(b). This statement is based on either past experience with similar actions <b>at the proposed action site</b> resulting in a CATEX and/or information gathered as part of previous NEPA or environmental due diligence review conducted <b>at the proposed action site</b>. Provide any supporting documentation or references in Attachment I.</p>	
<input type="checkbox"/>	<p>2) A statement and supporting documentation is attached explaining why no extraordinary circumstances exist or apply to the proposed action pursuant to 40 CFR §§ 6.204(a)(1) and 6.204(b).</p>	
<b>IV. NEPA Review Determination and Responsible Official Signature</b>		
<p>Sections I through III must be completed to satisfy EPA's documentation requirements for CATEX eligibility. If completion of this form indicates that a CATEX <i>does apply</i>, the Responsible Official must sign below.</p>		
<p><b>Categorical Exclusion Determination</b> The EPA finds that the proposed action is eligible for exclusion from detailed environmental review under 40 CFR § 6.204(a)(1), and will not involve any of the extraordinary circumstances delineated under 40 CFR § 6.204(b)(1) through (b)(10). Consequently, the EPA will not prepare an environmental impact statement or an environmental assessment for the proposed project. The EPA may revoke this categorical exclusion if changes in the proposed action render it ineligible for exclusion or if new evidence emerges which indicates that serious local or environmental issues exist or federal, state, or local laws would be violated.</p> <p>As the Responsible Official, I have determined that <b>this action is eligible for a Categorical Exclusion</b> per the substantive environmental review requirements under EPA regulations at 40 CFR § 6.204. Section III.C of this form has been completed providing the required Extraordinary Circumstances Statement.</p>		
<p>_____</p> <p>Signature of Responsible Official</p>	<p>_____</p> <p>Title</p>	<p>_____</p> <p>Date</p>

**NOTE:** Signed Categorical Exclusion Determinations should be uploaded to the EPA NEPA Compliance Database (through Central Data Exchange (CDX)).

Title of Project	Grant Id Number

**Attachment 1. CATEX Eligibility and/or Extraordinary Circumstances Statement(s)**

The space below may be used for a statement and supporting documentation explaining CATEX eligibility why no extraordinary circumstances exist or apply to the proposed action pursuant to 40 CFR §§ 6.204(a)(1) and 6.204(b). Attach additional pages as needed.

Empty space for statement and supporting documentation.